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Postal Service as first class mail in an envelope addressed to: Assistant  
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on March 13, 2002

Signature

Re: Applicant(s): Ulf A. PERSSON et al.  
Serial No. 09/935,459  
Filing Date: August 23, 2001  
For: OPTICAL COMMUNICATION SYSTEM  
Docket No.: 50364-00006USPT

Dear Sir:

Transmitted for filing with the Patent and Trademark Office are the following documents for the above-referenced patent application:

1. Information Disclosure Statement
2. Form PTO-1449
3. References A1; B1-B2; C1-C2
4. Postcard acknowledgment

Please address all communications related to this to:

Stanley R. Moore, Esq.  
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1445 Ross Avenue, Suite 3200  
Dallas, Texas 75202-2799

In the event there is an under or over payment, please debit or credit our Deposit Account #10-0447. This letter is being filed in duplicate to facilitate processing.

Respectfully submitted,

Robert W. Mason

Robert W. Mason  
Registration No. 42,848

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**MAR 29 2002**

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Docket No. 50364-00006USPT  
P 001 248 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Ulf A. PERSSON et al. ) Group Art Unit: 2661  
Serial No.: 09/935,459 ) Examiner: Not Yet Assigned  
Filed: August 23, 2001 )  
For: OPTICAL COMMUNICATION SYSTEM

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To the Assistant Commissioner  
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on March 13, 2002  
Carla E. Jones  
Signature

Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

In accordance with Applicant's duty under 37 C.F.R. § 1.56 and 1.97, Applicants hereby submit the attached form PTO-1449 (modified) which lists art cited. The art listed therein, while of some relevance, is not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. This statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists. Rather, this statement discloses only the best art of which the Applicants are aware.

In considering the art set forth below, it may be noted by the Examiner that certain of the references may contain markings, underlinings or other notations. These markings or notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the references. Any such markings were either present on the copies of the references

obtained by Applicants, or were made thereon during the study of the references by the Applicants and/or their attorneys.


The Examiner is respectfully requested to consider each of the cited references, indicate such consideration by initialing each reference on the enclosed Form PTO-1449 (modified) and return a copy of the same with the next communication to the Applicants. For the convenience of the Examiner in considering the references, copies of the cited references are enclosed with this communication.

Respectfully submitted,

*Robert W. Mason*

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|  |   |                                  |
|--|---|----------------------------------|
|  <p>Supplemental Form PTO-1449 Modified</p> <p>List of Patents and Publications<br/>Cited by Applicant<br/>(Use several sheets if necessary)</p> <p>U.S. Patent Department of Commerce<br/>Patent and Trademark Office</p> | <b>Docket No.:</b><br>50364-00006USPT       | <b>Serial No.:</b><br>09/935,459 |
|  | <b>Applicants:</b><br>Ulf A. PERSSON et al. |                                  |
|  | <b>Filing Date:</b><br>August 23, 2001      | <b>Group:</b><br>2661            |

### U.S. PATENT DOCUMENTS

| Examiner Initial |     | Document No. | Date          | Name    | Class | Subclass |
|------------------|-----|--------------|---------------|---------|-------|----------|
|                  | A-1 | 6,002,503    | Dec. 14, 1999 | Mizrahi | 359   | 124      |

### FOREIGN PATENT DOCUMENTS

| Examiner Initial |     | Document No.    | Date          | Country | Translation |    |
|------------------|-----|-----------------|---------------|---------|-------------|----|
|                  |     |                 |               |         | Yes         | No |
|                  | B-1 | W0 99/40700     | Aug. 12, 1999 | PCT     | (English)   |    |
|                  | B-2 | EP 1 069 720 A2 | Jan. 17, 2001 | EPO     | (English)   |    |
|                  | B-3 |                 |               |         |             |    |

### OTHER DOCUMENTS

| Examiner Initials |     | Author, Title, Date, Pertinent Pages, Etc.   |
|-------------------|-----|--|
|                   | C-1 | Gerstel, O. et al., "Upgrading SONET Rings with WDM instead of TDM: An Economic Analysis", Optical Fiber Communication Conference and The International Conference on Integrated Optics and Optical Fiber Communications, February 21, 1999 (pgs. 75-77) |
|                   | C-2 | Form PCT/ISA/210 International Search Report completed on December 21, 2001 for PCT/EP 01/09282, (3 Pgs)   |

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